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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Tia B Zimmerman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Noboru OSHIMA

Serial No.:

Not Yet Assigned

Filing Date:

Concurrently Herewith

For:

SEMICONDUCTOR LASER DEVICE,

PRODUCTION METHOD THEREFOR,

AND JIG FOR USE IN THE PRODUCTION METHOD

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 223.13-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

	This In	formation Disclosure Statement is submitted:							
\boxtimes	With	h the application; accordingly, no fee or separate requirements are required.							
	Before the mailing of a first Office Action after the filing of a Request for Continued								
	Examination under § 1.114.								
	Withi	Within three months of the application filing date or before mailing of a first Office							
	Action on the merits; accordingly, no fee or separate requirements are required.								
	After receipt of a first Office Action on the merits but before mailing of a final Office								
	Action or Notice of Allowance.								
		A fee is required. A check in the amount of is enclosed.							
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached							
		to this submission in duplicate.							
		A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee							
		is believed to be due.							
	After mailing of a final Office Action or Notice of Allowance, but before payment of the								
	issue fee.								
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the							
		amount of is enclosed.							
		A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal							
		form (PTO/SB/17 is attached to this submission in duplicate.)							

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does

not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing <u>259052004000</u>. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated:

December 8, 2003

Respectfully submitted,

By:

Douglas G. Hodder Registration No. 41,840

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Form PTC)-1449		•		Docket Number 259052004000		Application Number Not Yet Assigned		
INFO		ON DISCLO	OSURE CITATION CATION		Applicant Noboru OSHIMA				
	(U	lse several sheets if i	necessary)		Filing Date Concurrently Herewith Group Art Unit Not Yet Assigned				
				1	Mailing Date December 8, 2003				
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Examiner Initials					Name	Class	Class Subclass Filing Date If Appropriate		
		L	FOREIGN 1	LPAT	ENT DOCUME	NTS		<u> </u>	
Examiner Initials	Ref. No.	Date	Document No.		Country	Class	Subclass	Translation YES NO	
	1.	05/14/1987	JP 62-104093	JP 62-104093 Japa				Abstract	
	2.	11/21/1989	JP 01-289289 Jap		an			Abstract	
	3.	12/22/1989	JP 01-318270	Japa	an			Abstract	
	4.	04/12/1996	JP 08-097496 Jap		an			Abstract	
	5.	06/20/1997	JP 09-162496 Japa		an			Abstract	
	6.	04/30/1999	JP 11-121876 Jap		an			Abstract	
	7.	04/06/2001	JP 2001-094194	Japa	an			Abstract	
	8.	07/11/2003	JP 2003-198044	Japa	an			Abstract	
			OTHE	R DO	OCUMENTS	(includir	ng author, title, Do	ate, Pertinent Paş	zes, Etc.)
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PTO/SB/08 (2-92) Sheet 1 of 1

Docket Number 259052004000 Application Number Not Yet Assigned Form PTO-1449 **Applicant** INFORMATION DISCLOSURE CITATION Noboru OSHIMA IN AN APPLICATION (Use several sheets if necessary) Filing Date Concurrently Herewith Group Art Unit Not Yet Assigned Mailing Date December 8, 2003 U.S. PATENT DOCUMENTS Filing Date If Examiner Ref. Date Document No. Name Class Subclass **Initials** No. Appropriate FOREIGN PATENT DOCUMENTS Examiner Ref. Date Document No. Country Class Subclass Translation **Initials** No. YES NO 1. 05/14/1987 JP 62-104093 Japan Abstract 2. 11/21/1989 JP 01-289289 Japan Abstract 3. 12/22/1989 JP 01-318270 Japan **Abstract** 4. 04/12/1996 JP 08-097496 Japan Abstract 5. 06/20/1997 JP 09-162496 Japan Abstract 6. 04/30/1999 JP 11-121876 Japan Abstract 7. 04/06/2001 JP 2001-094194 Japan Abstract 8. 07/11/2003 JP 2003-198044 Japan Abstract OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.) Ref. Examiner Title **Initials** No. **EXAMINER:** DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in

conformance and not considered. Include a copy of this form with next communication to applicant.